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ANDOVER, MASSACHUSETTS 01810
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FAX COVER SHEET

FAXED
11/13/06
21

TO: Cynthia M. Kratz, Attorney Advisor
Office of PCT Legal Administration, USPTO

FROM: David Silverstein PAGES (including cover sheet): 6

FAX NO.: (571) 273-0459 DATE: November 13, 2006

RE: U.S. Serial No. 10/588,930
Attorney Docket No.: WLI-001A

MESSAGE: As we discussed by telephone on November 13, 2006, attached is a
copy of the Petition Under 37 CFR 1.182 to convert the above-identified patent
application to a Sec. 111(a) application. This paper was filed by mail on September 22,
2006. A copy of the PTO postcard receipt is also attached.

Please call me if you have any questions or if anything additional is needed.

David Silverstein

This telecopy is attorney-client privileged and contains confidential information intended only for the person(s) named above. Any other distribution, copying or disclosure is strictly prohibited. If you have received this telecopy in error, please notify us immediately by telephone and return the original transmission to us by mail without making a copy.

12/12/2006 SBASHEIR 00000001 501139 10588930
Sale Ref: 00000001 DAA: 501139 10588930
01 FC:1462 400.00 DA

Adjustment date: 09/04/2007 HDESTA1
12/12/2006 SBASHEIR 00000001 501139 10588930
01 FC:1462 400.00 CR

1415

ANDOVER-IP-LAW
ATTORNEY DAVID SILVERSTEIN
44 PARK STREET, #300
ANDOVER, MA 01810

8-7515/110

DATE September 22, 2006

PAY
TO THE
ORDER OF

Director of the USPTO

\$ 400.00 xx

Four hundred and 00/100

DOLLARS



Sovereign Bank

sovereignbank.com

FOR WLT-001A / Petition for re: \$111(a) Conversion

David Silverstein

MP

COPY

2007 AUG 17 PM 4:45

Practitioner's Docket No. WLI-001A**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**☒ In re application of: Waheed Mukaddam et al.Application No.: 10/588,930 Group No.:Filed: August 9, 2006

Examiner:

For: Ultraviolet Laser System for Decomposing Chemical Pollutants☐ Patent*:

Issued:

***NOTE:** Insert name(s) of inventor(s) and title also for patent. Where request is with respect to a maintenance fee payment also insert application number and filing date and add Mail Stop 16 to address.

Mail Stop 16**Director of the U.S. Patent and Trademark Office****P.O. Box 1450,****Alexandria, VA 22313-1450****REQUEST FOR REFUND
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)**

NOTE (HAND CARRIED): "Refund requests, deposit account replenishments, and maintenance fee payments may be hand carried to the Office of Finance receptionist in Suite 300, 2051 Jamieson Avenue (Carlyle Place building), Alexandria, VA 22314. Hand carried correspondence will only be accepted, and not processed. Although the receptionist will not process any correspondence, if the correspondence is delivered with an itemized postcard, the receptionist will provide a delivery receipt by date stamping the postcard. Depending on whether the correspondence is a refund request, deposit account related (e.g., a deposit account replenishment), or maintenance fee related (e.g., a maintenance fee payment), the correspondence should be placed in an envelope with REFUND, DEPOSIT ACCOUNT, or MAINTENANCE FEE written in dark ink across the envelope." See "Updated Lists of Exceptions to the Centralized Delivery and Facsimile Transmission Policy for Patent Related Correspondence," September

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING☒ deposited with the United States Postal Service in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10 *

☒ with sufficient postage as first class mail.☐ as "Express Mail Post Office to Addressee"

Mailing Label No. _____ (mandatory)

TRANSMISSION☐ facsimile transmitted to the Patent and Trademark Office, (571) 273-8500.

Signature

Date: August 13, 2007Leslie R. Silverstein

(type or print name of person certifying)

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Request for Refund (Improper Charge of Credit Card Account)) [19-4]—page 1 of 4)

19, 2005; 1299 OG 98, October 18, 2005, *Exceptions for Certain Hand Carried Correspondence*, item 12.

NOTE (FACSIMILE): Refund requests facsimile number 571-273-6500. See "Updated Lists of Exceptions to the Centralized Delivery and Facsimile Transmission Policy for Patent Related Correspondence," September 19, 2005; 1299 OG 98, October 18, 2005, *Exceptions for Certain Facsimile Transmitted Correspondence*, item 13.

NOTE: 37 C.F.R. § 1.26 Refunds.

(a) The Commissioner may refund any fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee, such as when a party desires to withdraw a patent or trademark filing for which the fee was paid, including an application, an appeal, or a request for an oral hearing, will not entitle a party to a refund of such fee. The Office will not refund amounts of twenty-five dollars or less unless a refund is specifically requested, and will not notify the payor of such amounts. If a party paying a fee or requesting a refund does not provide the banking information necessary for making refunds by electronic funds transfer (31 U.S.C. 3332 and 31 CFR part 208), or instruct the Office that refunds are to be credited to a deposit account, the Commissioner may require such information, or use the banking information on the payment instrument to make a refund. Any refund of a fee paid by credit card will be by a credit to the credit card account to which the fee was charged.

(b) Any request for refund must be filed within two years from the date the fee was paid, except as otherwise provided in this paragraph or in § 1.28(a). If the Office charges a deposit account by an amount other than an amount specifically indicated in an authorization (§ 1.25(b)), any request for refund based upon such charge must be filed within two years from the date of the deposit account statement indicating such charge, and include a copy of that deposit account statement. The time periods set forth in this paragraph are not extendable.

I. REFUND REQUEST

This is a request for a refund, with respect to the charge to Deposit Account
50-1139, shown on the statement dated Dec. 29, 2006, for the
above-identified

- ☒ application.
☐ patent.

(check the following, if desired, and supply copy of statement)

- ☒ A copy of the monthly statement, in which the error referred to occurs, accompanies this request:

II. FEES CHARGED FOR WHICH REFUND REQUESTED**AMOUNT OF
REFUND
REQUESTED**

<input type="checkbox"/> Basic fee	_____
<input type="checkbox"/> Examination fee	\$ _____
<input type="checkbox"/> Search fee	\$ _____
<input type="checkbox"/> Additional fee for specification and drawings	\$ _____
<input type="checkbox"/> Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	_____
and/or	
<input type="checkbox"/> Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 C.F.R. § 1.16(e))	_____
<input type="checkbox"/> Extension of term	_____
<input type="checkbox"/> first month	_____
<input type="checkbox"/> second month	_____
<input type="checkbox"/> third month	_____
<input type="checkbox"/> fourth month	_____
<input type="checkbox"/> fifth month	_____
<input type="checkbox"/> Excess claims	_____
<input type="checkbox"/> Issue fee	_____
<input checked="" type="checkbox"/> Petition fee	\$400.00
<input type="checkbox"/> Patent maintenance fee	_____
<input type="checkbox"/> first maintenance fee	_____
<input type="checkbox"/> second maintenance fee	_____
<input type="checkbox"/> third maintenance fee	_____
<input type="checkbox"/> Patent maintenance fee surcharge	_____
<input type="checkbox"/> Other _____	_____
_____	_____
_____	_____
_____	_____

TOTAL REFUND REQUESTED**\$400.00**

(Request for Refund (Improper Charge of Credit Card Account) [19-4]—page 3 of 4)

III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

Attached to this Refund Request are the following documents:

- (1) Copy of Dec. 29, 2006 Deposit Account Statement showing a Petition charge (Fee Code 1462) of \$400.00 for USSN 10/588,930.
- (2) Copy of a Petition to Convert to a §111(a) Application dated Sept. 22, 2006.
- (3) Copies of the \$400.00 check for the Petition Fee and the stamped postcard receipt for the Petition.

Because the \$400 Petition Fee was paid by a check accompanying the Petition, the \$400 Petition Fee should not have also been charged to my Deposit Account. Accordingly, that additional \$400 charge should be refunded.

IV. MANNER OF REFUND

Please make the refund by

- ☒ Crediting Deposit Account No. 50-1139
- ☐ Crediting applicant's credit card as shown on the attached credit card authorization form PTO-2038.

WARNING: Credit card information should not be included on this form as it may become public.

- ☐ Sending refund check to applicant
- ☐ By electronic funds transfer using the banking information on the payment instrument.


SIGNATURE OF PRACTITIONER

Reg. No.: 26,336

David Silverstein
(Type or print name of practitioner)

Tel. No.: (978) 470-0990

Andover-IP-Law
P.O. Address
44 Park Street, Suite 300
Andover, MA 01810

Customer No.:

(Request for Refund (Improper Charge of Credit Card Account) [19-4]—page 4 of 4)



UNITED STATES PATENT AND TRADEMARK OFFICE

United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion
with your check. Make checks payable to "Director of the USPTO."

DAVID SILVERSTEIN, ESQ.
DAVID SILVERSTEIN
26 BURTON FARM DRIVE
ANDOVER MA 01810

FINA

Account No.	501139
Date	12-29-06
Page	1

COPY

PLEASE SEND REMITTANCES TO:
U.S. Patent and Trademark Office
P.O. Box 371279
Pittsburgh, PA 15251-7279

Call the Deposit Account Branch at 571-272-6500 for assistance.

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
12	12	06	1	10588930	WLI-001A	1462	400.00	5546.00
1.17(f) - Group I petition fee								
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT					OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
					5946.00	400.00	0.00	5546.00

COPY

Re Application of:

Due Date: N/A

WAREED MUKADDAM ET AL.

Mailed: SEPT. 22, 2006

Mailer: DS/LRS

For: ULTRAVIOLET LASER SYSTEM FOR DECOMPOSING CHEMICAL POLLUTANTS

Serial No. 10/588,930

Patent No.

Docket: WLI-001A

In the above matter, the following has been received in the U.S. Patent and Trademark Office on the date stamped hereon.

☐ Specification, Abstract and
() Claims — () Total Pages☐ Declaration and Power☐ Drawing () sheets☐ Assignment

XX Check \$ 400.00

☐ Request for Recordation☐ Information Disclosure Statement☐ Small entity verification

XX PETITION UNDER 37 CFR 1.182 TO CONVERT TO A §111(a) APPLICATION

☐ Extension of time☐ Amendment

XX Cert. of Mailing

☐ Maintenance Fee Transmittal☐ Affidavit (w/w/o Exhibits)☐ Notice of Appeal☐ Brief () copies☐ Issue fee transmittal☐ Transmittal letter

Re Application of:

IAP6 Rec'd PCT/PTO 25 SEP 2006

Mailed: SEPT. 22, 2006

WAHEED MUKADDAM ET AL.

Mailer: DS/LRS

For: ULTRAVIOLET LASER SYSTEM FOR DECOMPOSING CHEMICAL POLLUTANTS

Serial No. 10/588,930

Patent No.

Docket: WLI-001A

In the above matter, the following has been received in the U.S. Patent and Trademark Office on the date stamped hereon.

☐ Specification, Abstract and
() Claims — () Total Pages☐ Declaration and Power☐ Drawing () sheets☐ Assignment

XX Check \$ 400.00

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XX Cert. of Mailing

☐ Maintenance Fee Transmittal☐ Affidavit (w/w/o Exhibits)☐ Notice of Appeal☐ Brief () copies☐ Issue fee transmittal☐ Transmittal letter

Application No. 10/588,930
Petition to Convert to a §111(a) Application

PATENT
Attorney Docket No.: WLI-001A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):
Serial No.:
Filing Date:
Title:

Waheed Mukaddam *et al.*

10/588,930

August 9, 2006

ULTRAVIOLET LASER SYSTEM FOR DECOMPOSING
CHEMICAL POLLUTANTS

Examiner:
Group Art Unit:

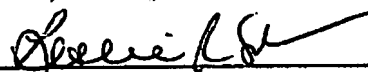
COPY

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail in an envelope addressed to the Commissioner for Patents, Attention: Office of PCT Legal Administration, P.O. Box 1450, Alexandria, VA 22313-1450.

September 22, 2006

Date



Leslie R. Silverstein

Commissioner for Patents
Attention: Office of PCT Legal Administration
P.O. Box 1450
Alexandria, VA 22313-1450

PETITION TO CONVERT TO A §111(a) APPLICATION

Sir:

This is a Petition Under 37 C.F.R. 1.182 to convert this application, originally filed as a §371(a) national stage patent application on August 9, 2006, to a §111(a) application based on PCT/US2005/009500.

Application No. 10/588,930
Petition to Convert to a §111(a) Application

PATENT
Attorney Docket No.: WLI-001A

EXPLANATION FOR THIS PETITION

On August 9, 2006, Applicants filed two complete U.S. national stage patent applications under 35 U.S.C. §371(a) based on the same international application, PCT Serial No. PCT/US2005/009500. Each of the two applications was filed together with a Preliminary Amendment to substitute a new set of claims for the claims pending in the international application. By means of these two new claim sets, Applicants intended to focus each of the two §371(a) applications on a different embodiment of the invention disclosed in the international application.

One of those §371(a) applications was processed as a §371(a) application and assigned U.S. Serial No. 10/588,933 with a filing date of August 9, 2006. The second application was assigned U.S. Serial No. 10/588,930; however, it was sent to Supervisor Leonard Smith in the Office of PCT Legal Administration for further consideration.

Applicants' attorney David Silverstein spoke with Supervisor Smith on September 18, 2006 about the status of U.S. Serial No. 10/588,930. David Silverstein was advised that, because Serial No. 10/588,933 was being treated as the §371(a) application, Serial No. 10/588,930 would have to be converted to a §111(a) application. Supervisor Smith said that a Petition under 37 C.F.R. 1.182 was needed to request such a conversion.

Accordingly, this is a Petition by Applicants to convert U.S. Serial No. 10/588,930 to a §111(a) application, based on international application PCT/US2005/009500, and claiming priority back to U.S. provisional application Serial No. 60/556,463.

U.S. Serial No. 10/588,930 was filed with a complete copy of the Specification (namely, a copy of PCT/US2005/009500, published as WO 2005/094906), a fully executed Inventor

Application No. 10/588,930
Petition to Convert to a §111(a) Application

PATENT
Attorney Docket No.: WLI-001A

Declaration, the appropriate filing fees, and authorization to charge any necessary additional fees to the undersigned attorney's PTO Deposit Account. Supervisor Smith indicated that, as long as this application was filed with a copy of the complete Specification, there should be no problem with converting this to a §111(a) application. Supervisor Smith also indicated that the original August 9, 2006 filing date for this application could be maintained under these circumstances.

Therefore, Applicants respectfully request favorable action on this Petition.

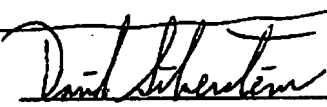
FEEs

The petition fee of \$400.00 (37 CFR 1.17(f)) is paid as follows.

- ☒ Enclosed is a check in the amount of \$400.00.
- ☒ Charge any fee deficiency to Deposit Account No. 50-1139.

Reg. No. 26,336

Tel. No. (978) 470-0990


David Silverstein
Attorney for Applicants
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